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English - Or. English

13 July 2022

DIRECTORATE FOR FINANCIAL AND ENTERPRISE AFFAIRS INVESTMENT COMMITTEE

Meeting of the Network of National Contact Points for Responsible Business Conduct

NATIONAL CONTACT POINT 2021 REPORT TO THE OECD: PERU

This document is available in PDF format only.

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JT03499344

NCP Annual Reporting Questionnaire 2021

Survey response 1

A. Contact information

Please indicate your country:						
Peru						
Please provide the contact information of the person filling in the questionnaire:						
First name:						
Nancy						
Last name						
Bojanich						
T. L. 1911						
Job title:						
Investment specialist						
Email:						
nbojanich@proinversion.gob.pe						
Telephone number (with country code): e.g.: +44 9876 123 456						
0512721900						

B. Institutional arrangements - (a) Structure, location and composition of the NCP

What is the structure of the NCP?

Single agency

1. What is the structure of the NCP? [Other]

2. Who are the members of the NCP? Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.

The Steering Council of PROINVERSIÓN has the highest level of decision of the NCP.

The Executive Director is in charge of the NCP Technical Secretariat through PROINVERSION's Investors Servicing División-DSI

The Head of the DSI, mainly supervise the activities carried out, considering the authorized budget provided for the NCP activities.

The investment specialist is in charge of carrying out the activities in order to disseminate and promote the OECD Guidelines, working in coordination with various public and private sector agencies as well as with civil society organizations. Likewise, this specialist coordinate with several NCPs and participate in the OECD working party on Responsible Business Conduct.

The legal and technical specialists are in charge of carrying out activities related to promote the Guidelines OECD AND the attention to specific instances related to the implementation of the OECD Guidelines, also both specialists support in several activities of the NCP.

- 3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which ministry or agency is located the Secretariat?
- 3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which department of the ministry or agency is located the Secretariat?

4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?

Yes

4. (Continued) If yes, please provide the name and date of this instrument, and a link if available

PROINVERSION'S Steering Council Agreement N° 294-01-2009 dated July 1st 2009

Additional comments on the section Structure, location and composition of the NCP:

The organization structure of PROINVERSIÓN, through its Steering Council, helps the interaction the NCP with other government agencies in order to promote the OECD Guidelines. Ministers that form part of the Steering Council are: Minister of Economy and Finance, Minister of Energy and Mining, Minister of Transportation and Telecommunication, Minister of Housing, Construction and Sanitation, Minister of Production. Moreover, there is a permanent interaction with the Ministry of Labor, the Ministry of Foreign Affairs and other public and private agencies

B. Institutional arrangements - (b) Advisory body

5. Does the NCP have one or several advisory bodies?	
No	

- 5. (Continued) If the NCP has several advisory bodies, please specify.
- 5. (Continued) If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)
- 5. (Continued) If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)
- 6. If an advisory body provides oversight, please describe the oversight procedure:

Additional comments on the section Advisory body(ies):

B. Institutional arrangements - (c) Human and financial resources

7. Does the NCP have dedicated full-time staff members?

8. Does the NCP have dedicated part-time staff members?

8. (Continued) Please indicate the number of part-time staff members:

o. (Continued) Please indicate the number of part-time stan members.

8. (Continued) Please indicate the percentage of time spent on NCP matters for each part-time staff member:

The NCP is composed of 4 part time officials from PROINVERSION. The Investment specialist (1) devotes 70% of her time to NCP activities, and the other 3 part time legal and technical specialists devotes 20% of their time in each case.

9.1 Have any full-time staff members joined the NCP during the year?

Yes

No

9.2 Have any part-time staff members joined the NCP during the year?

Yes

9.2 (Continued) Please indicate how many part-time staff members joined the NCP during the year:

2

10.1 Have any full-time staff members left the NCP during the year?

No

10.2 Have any part-time staff members left the NCP during the year?

Yes

10.2 (Continued) Please indicate how many part-time staff members left the NCP during the year:

1

11. Did the NCP have a dedicated budget this year?

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Handle specific instances in an efficient and timely manner]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Organise promotional events]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend NCP meetings at the OECD]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by other NCPs]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by stakeholders]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Cover professional mediator fees or in-house mediator fees]

No

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Conduct fact-finding research into specific instances]

No

12. (Continued) If you have answered "no" to any of the above, please specify:

For reasons of not having enough budget, currently the NCP, no cover professional mediator fees, and not conduct research into specific instances .

Additional comments on the section Human and financial resources:

B. Institutional arrangements - (d) Reporting

14. Does the NCP report to the legislative body on its activities?

Yes

14. (Continued) If yes, how often?

More than three times a year

15. Please indicate any other relevant transparency requirement(s) (e.g. access to information or disclosure laws) applicable to the NCP in your country:

Additional comments on the section Reporting:

C. Information and promotion - (a) Website

16. Does the NCP have a website?

Yes

16. (Continued) If yes, please provide the link:

https://www.investinperu.pe/modulos/JER/PlantillaStandard.aspx?are=1&prf=0&jer=8008&sec=17

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The text of the Guidelines]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [A description of the Guidelines]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The OECD Due Diligence Guidance Documents]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Explanatory text about due diligence]

No

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Information on the NCP and its mandate]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP Annual Report submitted to the OECD]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's Report to the executive and/or legislative (if applicable)]

No

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's peer review report (if applicable)]

N/A

17.2 Are the following items available on the NCP website? Information about specific instances [Information on how to submit a specific instance]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [An online form to submit a specific instance]

No

17.2 Are the following items available on the NCP website? Information about specific instances [The NCP's rules of procedure] Yes

17.2 Are the following items available on the NCP website? Information about specific instances [All final statements since 2011] Yes

17.3 Are the following items available on the NCP website? Information on promotional activities: [The NCP's promotional plan] No

17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on upcoming events promoting the Guidelines]

17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on past events promoting the Guidelines]

Yes

17.4 Are the following items available on the NCP website? Contact information: [Information on how to make an enquiry to the NCP]

Yes

17.4 Are the following items available on the NCP website? Contact information: [A phone number to reach the NCP directly]
Yes

17.4 Are the following items available on the NCP website? Contact information: [An email address to reach the NCP directly]

Additional comments on the section NCP website:

The web site of PROINVERSION provides information about OECD guidelines, NCP activities and regarding the participation of Peru in the Investment Committee, both in Spanish and English languages:

https://www.investinperu.pe/modulos/JER/PlantillaStandard.aspx?are=1&prf=0&jer=8008&sec=17

C. Information and promotion - (b) Promotional activities*

18. Does the NCP have a promotional plan for the coming year(s)?

No

- 19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Yes
- 20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
- 21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?

Yes

- 21. (Continued) If yes, please provide additional details:
- 22. Did the NCP hold a stakeholder meeting during the year?

Νo

23. Did the NCP promote the Guidelines among the business community during the year?

Νo

24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?

No

25. Did the NCP promote the Guidelines among NGOs during the year?

Νo

26. Did the NCP promote the Guidelines among trade unions during the year?

No

27. Did the NCP promote the Guidelines among government agencies during the year?

Υρς

28. Did the NCP promote the Guidelines among embassies abroad during the year?

No

29. Did the NCP promote the Guidelines to investment promotion agencies during the year?

30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Business Conduct] Yes
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector] No
30. Did the NCP focus on any of the following during promotional activities during the year: [Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors] No
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas] No
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector] No
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD-FAO Guidance for Responsible Agricultural Supply Chains] No
Additional comments on the section Promotional activities:
D. Specific Instances - (a) NCP rules of procedure for handling specific instances
31. Does the NCP have rules of procedure describing the handling of specific instances?
31. Does the NCP have rules of procedure describing the handling of specific instances? Yes
Yes 32. Are the rules of procedure available online?
Yes 32. Are the rules of procedure available online? Yes
Yes 32. Are the rules of procedure available online?
Yes 32. Are the rules of procedure available online? Yes
Yes 32. Are the rules of procedure available online? Yes 32. (Continued) If yes, please provide a link: 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf"
Yes 32. Are the rules of procedure available online? Yes 32. (Continued) If yes, please provide a link: 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials. filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx"
Yes 32. (Continued) If yes, please provide a link: 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials. filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.
Yes 32. (Continued) If yes, please provide a link: 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials. filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.
Yes 32. Are the rules of procedure available online? Yes 32. (Continued) If yes, please provide a link: 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials. filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials. 33. Were the NCP's rules of procedure modified this year? No

35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance? Please select N/A if the NCP has never received a specific instance

No

36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?

No

37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year

No

38. Did the NCP staff or members conduct mediation this year? Please select N/A if the NCP has never received a specific instance

No

Additional comments on the section Specific instance practicalities:

D. Specific Instances - (c) Reporting specific instances

39. Did the NCP receive new specific instance submissions during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

No

40. Did the NCP close specific instances during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.

Yes

41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were in progress at the start of the year.

No

42. Did the NCP follow up on a case during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were concluded before the start of the year.

N/A

43. Is the OECD database of specific instances accurate and up to date with regard to cases handled by the NCP? Select N/A if the NCP has never received a specific instance.

Yes

43. (Continued) Please provide details (missing cases, out of date entries, broken links, etc.) in the box below:

Additional comments on the section Reporting specific instances:

E. Peer learning and peer reviews

44. Did the NCP take part in the following activities with other NCPs during the year: [Host a peer learning activity]

Nο

44. Did the NCP take part in the following activities with other NCPs during the year: [Participate in peer learning activities hosted by other NCPs]

No

44. Did the NCP take part in the following activities with other NCPs during the year: [Co-operate with other NCPs in handling specific instances]

44. Did the NCP take part in the following activities with other NCPs during the year: [Provide mentoring/capacity building to another NCP]
No

45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2022?
No

46. Which topic would the NCP consider to be a priority to cover in a peer learning event?

Workshops regarding the guidelines in due diligence in mining, extractive sectors and in other economic sectors in order to enhance the NCP knowledge with respect to these issues..

48. Is the NCP interested in acting as a peer reviewer in the future?

No

If yes, please specify the semester and the year:

Additional comments on the section Peer-learning and peer reviews:

F. Policy coherence*

- 49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)

 No
- 49. (Continued) Please provide a short summary and a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.
- 50. Did your country adopt a National Action Plan (NAP) this year?

Yes

50. (Continued) Please indicate which type of National Action Plan: [NAP on Business and Human Rights]

Yes

Yes

50. (Continued) Please indicate which type of National Action Plan: [NAP on Corporate Social Responsibility]

50. (Continued) Please indicate which type of National Action Plan: [NAP on Responsible Business Conduct] No

50. (Continued) Please provide a link to the NAP on Business and Human Rights: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

https://observatorioderechoshumanos.minjus.gob.pe/observatorio-de-ddhh/

50. (Continued) Did the NAP on Business and Human Rights make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Business and Human Rights make reference to: [The NCP?]

50. (Continued) Please provide a link to the NAP on Corporate Social Responsibility: If a link is not available, please upload a copy of the legislation at the end of the guestionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The NCP?]

50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The Guidelines?]
50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The NCP?]
51. Was a NAP in development this year?
Yes
51. (Continued) If yes, please indicate which NAP: [NAP on Business and Human Rights]
Yes
51. (Continued) If yes, please indicate which NAP: [NAP on Corporate Social Responsibility]
No
51. (Continued) If yes, please indicate which NAP: [NAP on Responsible Business Conduct] No
51. (Continued) If yes, did the NCP participate in the development of the NAP?
Yes
52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year No
53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year No
54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year No
55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process? No
55. (Continued) Please provide a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.
56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities? No
57. Were public procurement officials involved in any of your training/outreach activities?
Yes
58. What tools or activities does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?
59. Please identify any specific initiatives/good practices involving public procurement and RBC in your country:
60. Please include any other examples of policy coherence activities:
Peru has the policy to negotiate articles of Corporate Social Responsibility in its international investment treaties. Specifically, in the Investment Chapter of the Commercial Agreement negotiated with the countries members of the Pacific Alliance, we have included in the article of Social Responsibility Policy, a direct reference to the OECD Guidelines.

Additional comments on the section Policy Coherence:

50. (Continued) Please provide a link to the NAP on Responsible Business Conduct: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

G. Challenges

61. Has the NCP faced any particular challenge(s) it would like to highlight this year?

Participate in the following phases in the context of the Regional Program to promote RBC in LAC countries. And in the implementation phase of the NAP on Business and Human Rights.

Additional comments on the section Challenges:

NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2021

Annex

This document is to provide detailed information on NCP promotional activities (Part 1 below) and on specific instances (Part 2 below).

INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section "Annex: NCP Promotional activities & Specific Instances" (after question 61).

Please note that only **Word files** (.doc or .docx) can be uploaded.

Guidance and instructions on how to complete the questionnaire (here) and the annex (here) are accessible on the NCP O.N.E Community Space.

HELP & ASSISTANCE

Please contact <u>jean-francois.leruste@oecd.org</u> and <u>emily.halstead@oecd.org</u> for any help or assistance to complete the questionnaire.

STRUCTURE

Part 1: NCP PROMOTIONAL ACTIVITIES

Part 2: SPECIFIC INSTANCES

PERU

PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2). Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event. Please add additional lines if needed.

TABLE 1

NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.	Theme e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.
Workshop: the OECD Guidelines and the National Action Plan on Business and Human Rights 2021- 2025 and its role in promoting Responsible Business	29-Oct-2022	Lima, Peru	Webinar	50-100	Co-organised	Maily, Government representatives	The OECD Guidelines and policy coherence with the NAP on Business and Human Rigths
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.

Additional	comments:
Additional	committeenes.

Total number of events:

TABLE 2 Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Targeted audience e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.	Organiser(s)	Theme of the intervention
International Forum for the presentation of the Peru's National Action Plan for Business and Human Rights 2021-2025	25-Jun-2021	Lima, Peru	Webinar	>100	Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.	The Ministry of Justice and Human Rigthts, the NN.UU	The Challenges of Promoting Responsible Business Conduct Through the UN Guiding Principles for Business and Human Rights Recommendations Complementing the OECD Guidelines for Business
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Choose an item.	Click here to enter text.	Click here to enter text.	Click here to enter text.

Additional comments:

		1	Fotal number of events:
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PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

- 1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
- 2. Specific instances concluded during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
- 3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
- 4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this <u>form</u> to <u>ncp-specific-instances@oecd.org</u>1.

According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, "[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment".

For all specific instances that are currently IN PROGRESS:

Names of parties	Date received (dd/mm/yyyy)	Is the initial assessment publicly available?	Link to OECD database if available	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.

Additional comments:	
Total number of specific instances:	

For all specific instances that were CONCLUDED during the year:

Title	Date received (dd/mm/yyyy)	Date concluded (dd/mm/yyyy)	Link to OECD database if available	Outcomes achieved	Does the final statement plan for a follow up?	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Avianca Perú S.A en liquidación / Avianca Holding vs former workers	20-Jul-2020	09-Nov-2021	Click here to enter text.	The Peruvian NCP concluded that there was not a breach of the Human Rights chapter. Notwithstanding, regarding the Chapter on Employment and Labour Relations, the Peruvian NCP considered that the lack adequate notice in advance by Avianca Peru S.A. en liquidación and Avianca Holdings S.A. regarding the enterprises situation and its eventual liquidation or restructuration, demonstrates a non-compliance of the recommendations established in paragraph c) subparagraphs 2 and 6 of that Chapter.	No follow-up	No
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.

Additional comments: English translation pending. Click to see Final Assessment Report and Final Note in Spar	ish.
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Total number of specific instances:

For all specific instances that were NOT ACCEPTED during the year:

Title	Date received (dd/mm/yyyy)	Link to OECD database if available	Reasons for not accepting the specific instance:	Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.

Additional comments:	
Total number of specific instances:	

For all specific instances for which FOLLOW UP was performed during the year:

Title	Date concluded (dd/mm/yyyy)	Date of follow up	Was a public follow up statement issued?	Link to the follow up statement, if applicable
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.

Additional comments:	
Total number of specific instances:	